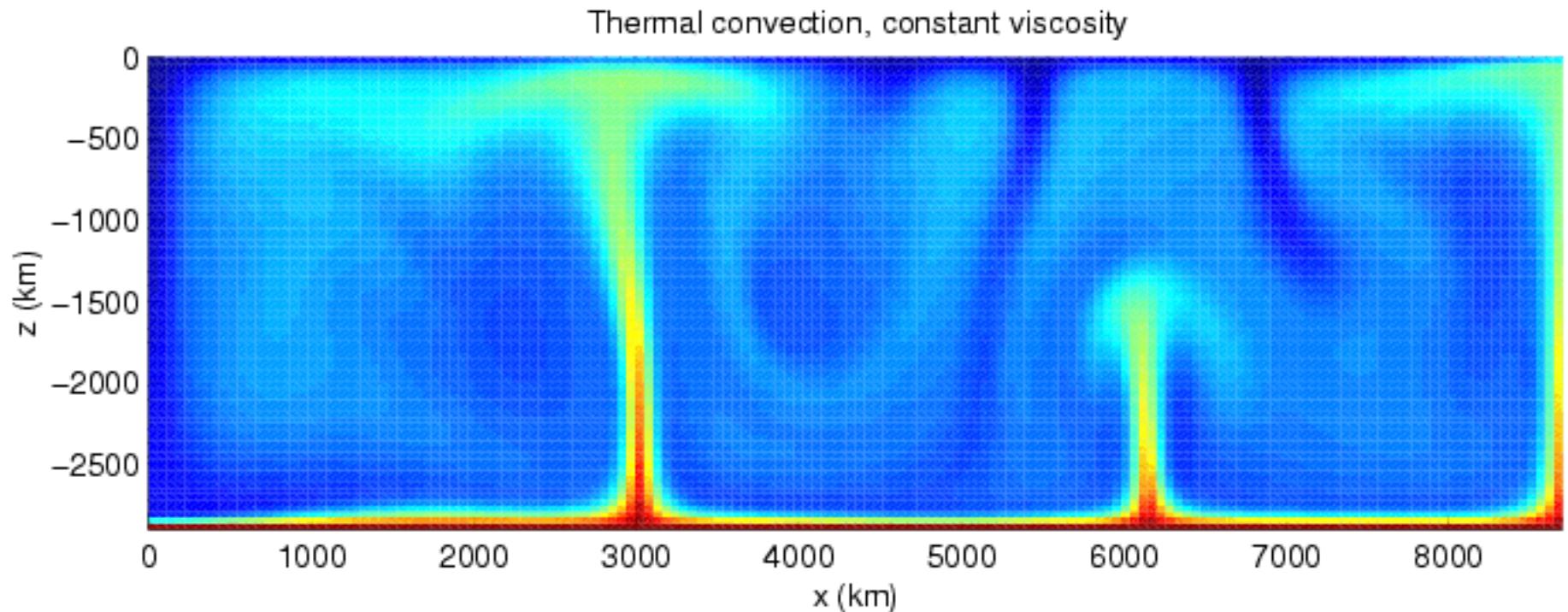


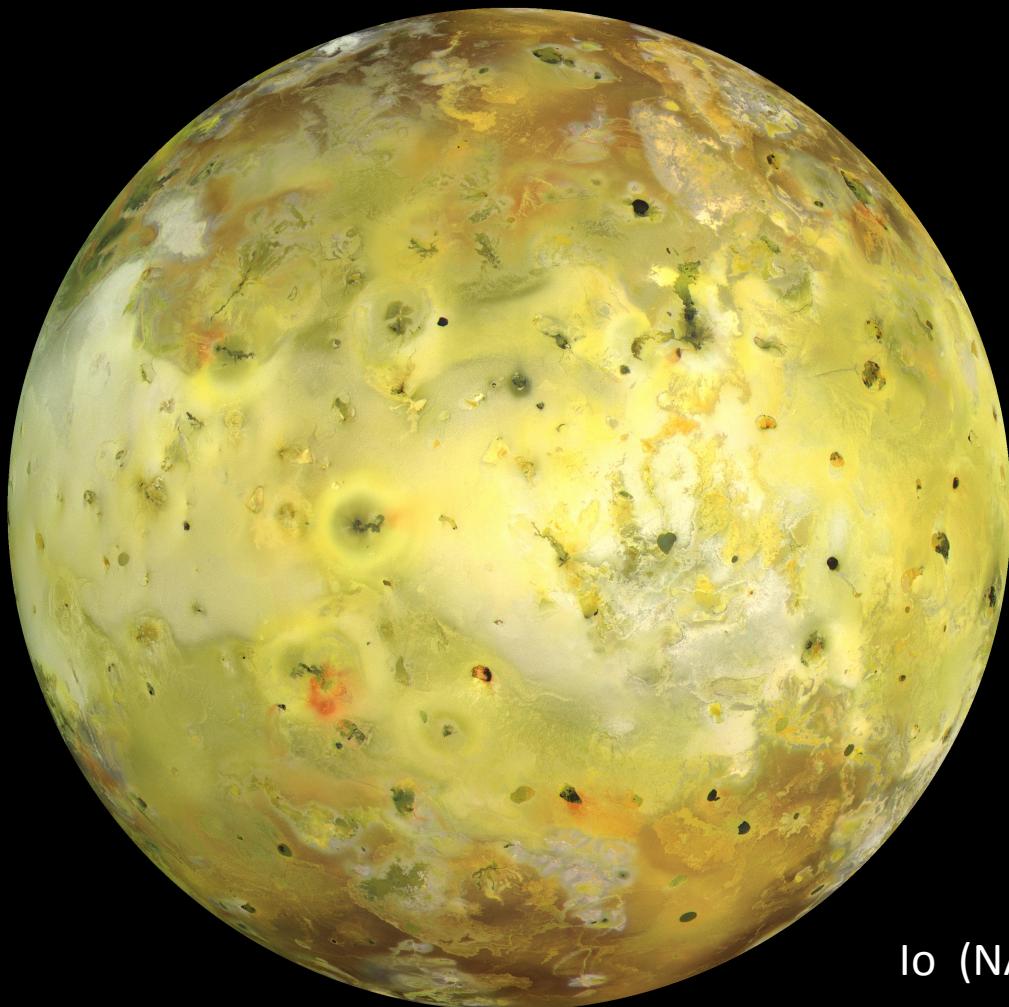
# Geodynamics I

## Basics of Thermal Convection



Bruce Buffett, UC Berkeley  
Presented by Michael Manga, UC Berkeley

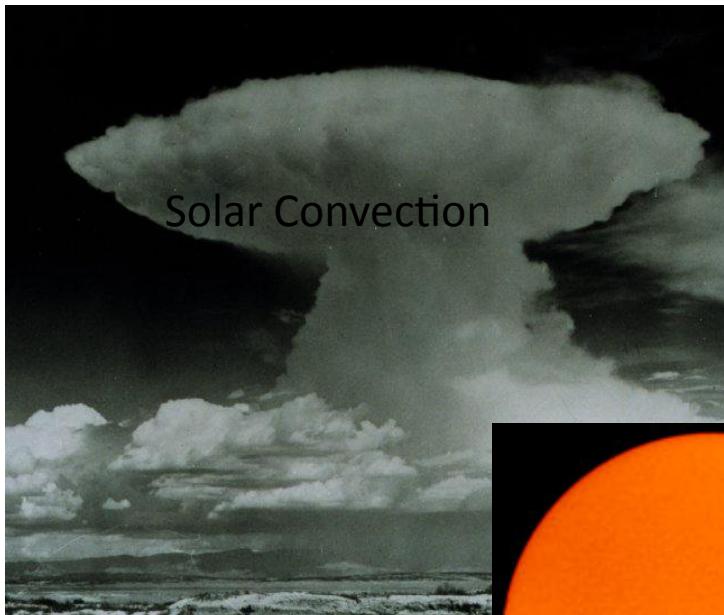
# Other Bodies



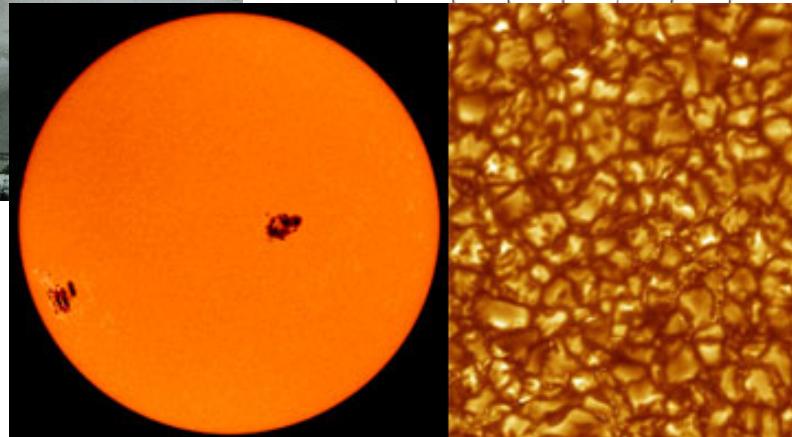
Io (NASA)

# Other Contexts

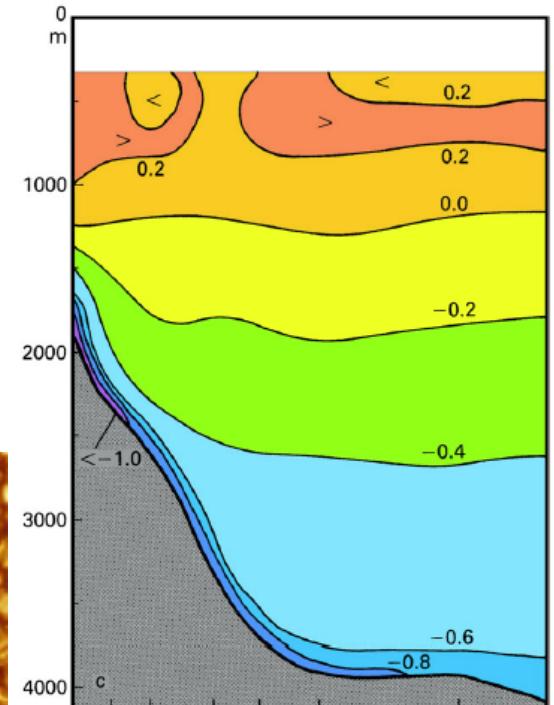
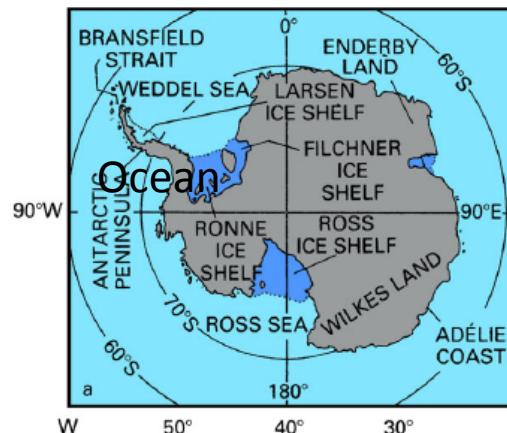
## Atmosphere



NOAA



Abbott et al. 2004



Rahmstorf 2006

Wide range of outcomes are predicted by basic equations  
6/26/16

# Outline

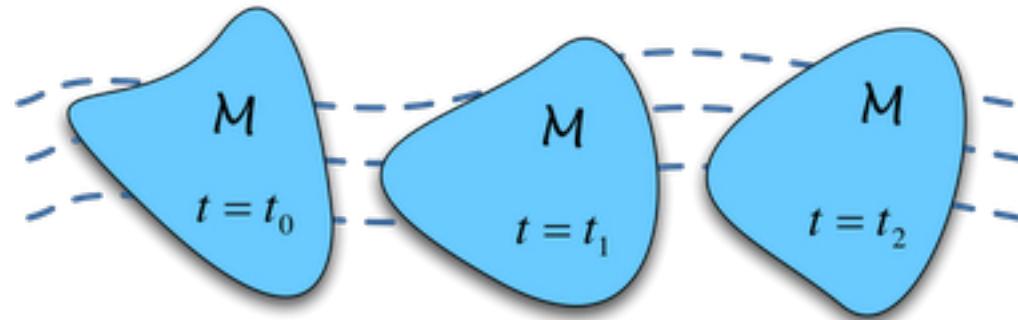
1. A brief overview of the governing equations
2. Introduction to dimensionless numbers
3. Onset of convection (elements of stability)
4. The boundary layer model
5. Scaling relations and thermal histories, and some complications

Please ask questions as issues become unclear or you have other questions

# Conservation of mass

# Some Tools

Fluid Parcel (mass  $M$  or volume  $V$ )

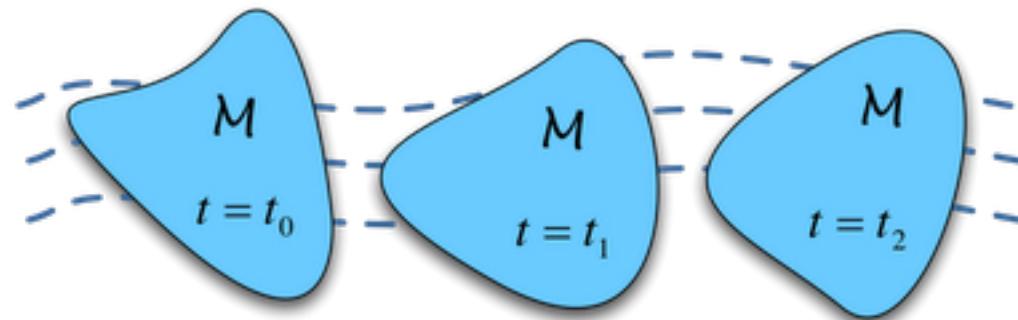


1. Time Derivative (e.g. acceleration  $\mathbf{a}$ )

$$\mathbf{a} = \frac{d\mathbf{v}(\mathbf{x}, t)}{dt} = \frac{\partial \mathbf{v}}{\partial t} + \frac{\partial \mathbf{v}}{\partial \mathbf{x}} \frac{\partial \mathbf{x}}{\partial t}$$

# Some Tools

Fluid Parcel (mass  $M$  or volume  $V$ )

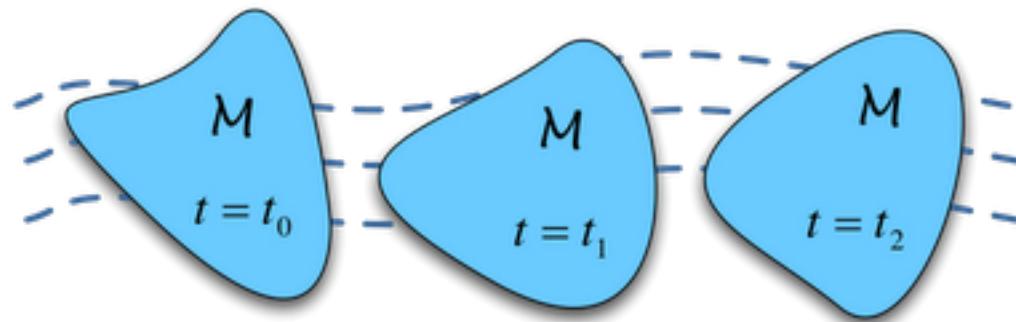


1. Time Derivative (e.g acceleration  $\mathbf{a}$ )

$$\mathbf{a} = \frac{d\mathbf{v}(\mathbf{x}, t)}{dt} = \frac{\partial \mathbf{v}}{\partial t} + \mathbf{v} \cdot \nabla \mathbf{v}$$

# Some Tools

Fluid Parcel (mass  $M$  or volume  $V$ )



1. Time Derivative (e.g acceleration  $\mathbf{a}$ )

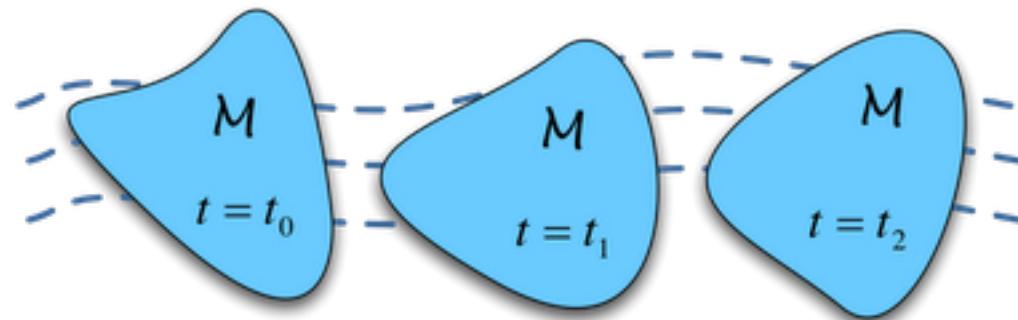
$$\mathbf{a} = \frac{d\mathbf{v}(\mathbf{x}, t)}{dt} = \left( \frac{\partial}{\partial t} + \mathbf{v} \cdot \nabla \right) \mathbf{v} = \frac{D}{Dt} \mathbf{v}$$



material derivative

# Another Tool

Fluid Parcel (mass  $M$  or volume  $V$ )



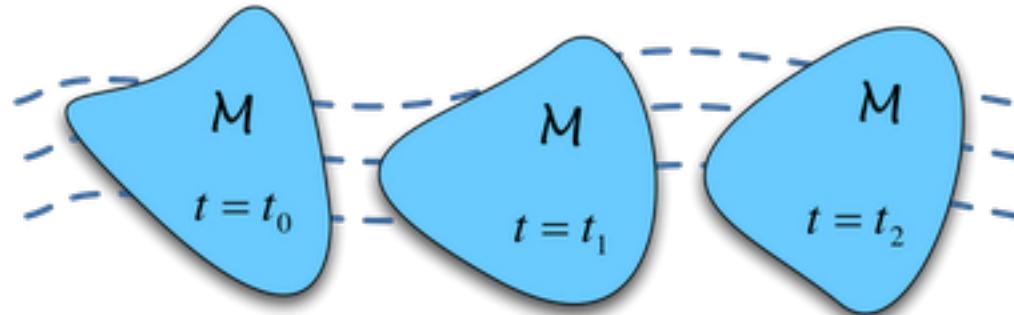
## 2. Reynolds Transport Theorem

$$\frac{d}{dt} \int_{V(t)} f dV = \int_{V(t)} \left[ \frac{Df}{Dt} + f(\nabla \cdot \mathbf{v}) \right] dV = 0$$

## An Example

Mass of parcel

$$M = \int_{V(t)} \rho dV$$



$$\frac{d}{dt} \int_{V(t)} \rho dV = \int_{V(t)} \left[ \frac{D\rho}{Dt} + \rho(\nabla \cdot \mathbf{v}) \right] dV = 0$$

# Conservation of Mass

Conservation of mass requires

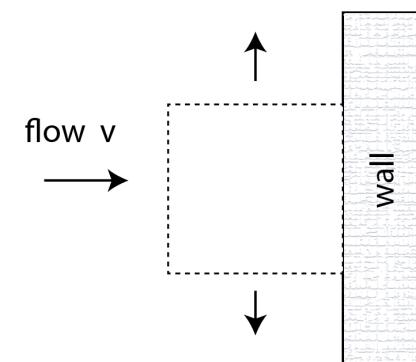
$$\frac{D\rho}{Dt} + \rho(\nabla \cdot \mathbf{v}) = 0$$

Equivalent form (substitute for  $D\rho/Dt$ )

$$\frac{\partial \rho}{\partial t} + \mathbf{v} \cdot \nabla \rho + \rho(\nabla \cdot \mathbf{v}) = 0$$

Incompressible flow

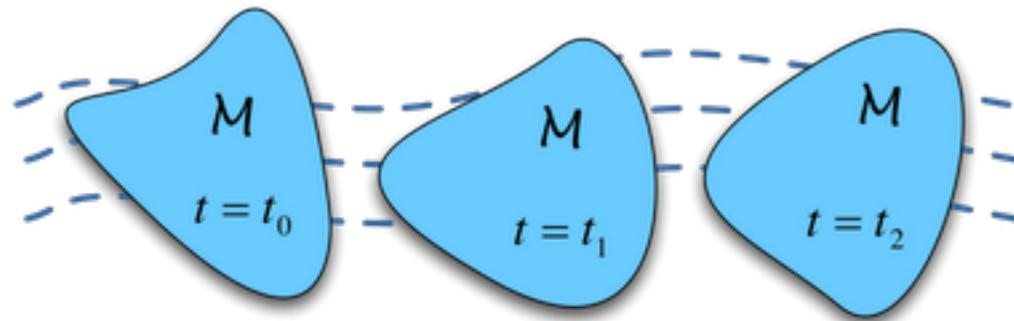
$$\nabla \cdot \mathbf{v} = 0$$



# Conservation Equations

Conservation of Momentum

$$\mathbf{p} = \int_{V(t)} \rho \mathbf{v} dV$$



Newton's 2<sup>nd</sup> Law

$$\frac{d}{dt} \int_{V(t)} \rho \mathbf{v} dV = \mathbf{F}$$

total force on parcel  $V(t)$

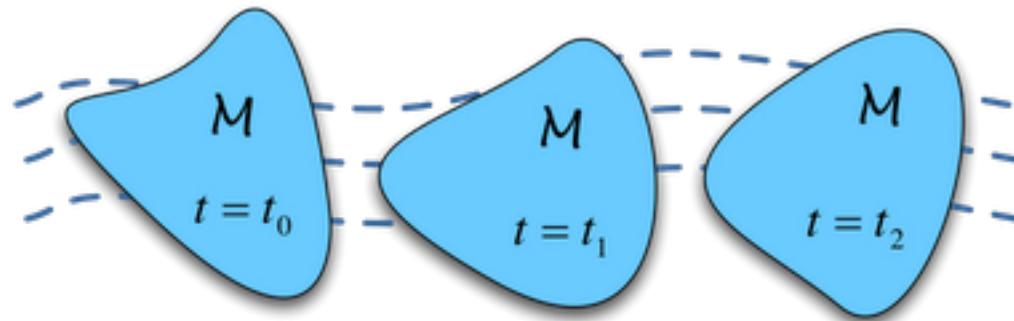
(e.g. gravity, pressure, viscous drag, etc)

# Conservation of momentum

# Conservation Equations

Conservation of Heat\*

$$H = \int_{V(t)} \rho C_p T dV$$



$$\frac{d}{dt} \int_{V(t)} \rho C_p T dV = - \int_{S(t)} \mathbf{q} \cdot d\mathbf{S} + \int_{V(t)} R dV$$

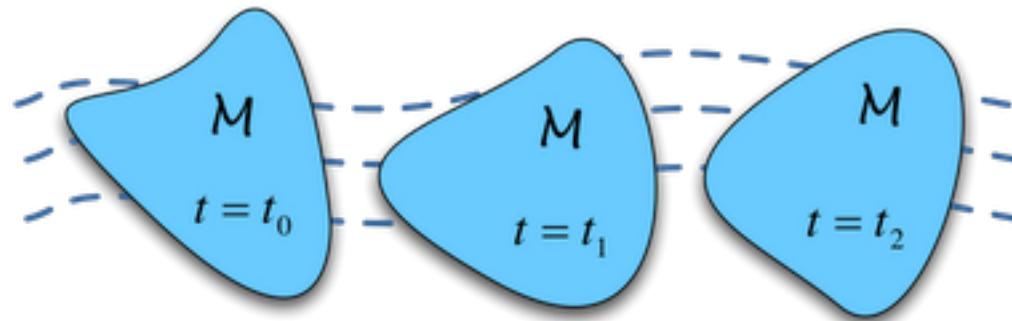
$\uparrow$   
 $(\mathbf{q} = -k \nabla T)$

conduction across surface  $S(t)$

# Conservation Equations

Conservation of Heat\*

$$H = \int_{V(t)} \rho C_p T dV$$

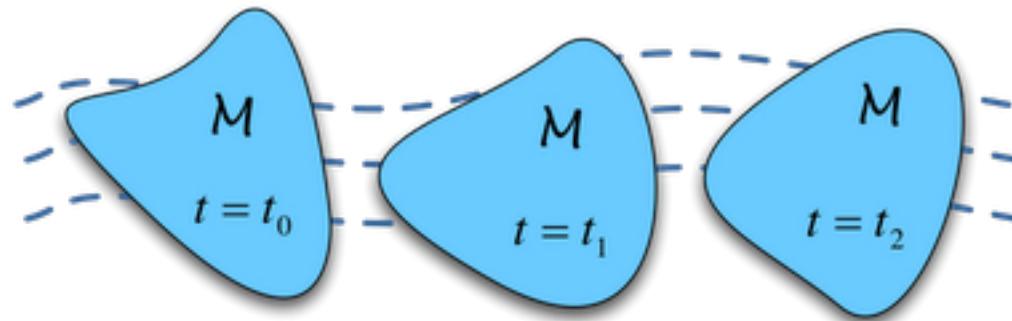


$$\frac{d}{dt} \int_{V(t)} \rho C_p T dV = - \int_{V(t)} \nabla \cdot \mathbf{q} dV + \int_{V(t)} R dV$$
$$(\mathbf{q} = -k \nabla T)$$

# Conservation Equations

Conservation of Heat\*

$$H = \int_{V(t)} \rho C_p T \, dV$$

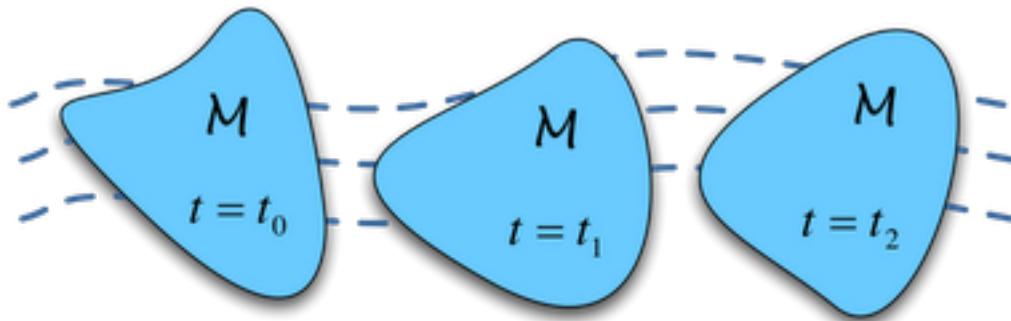


$$\int_{V(t)} \left( \rho C_p \frac{DT}{Dt} + \rho C_p T (\nabla \cdot \mathbf{v}) \right) \, dV = \int_{V(t)} (\nabla \cdot k \nabla T + R) \, dV$$

# Conservation Equations

Conservation of Heat\*

$$H = \int_{V(t)} \rho C_p T \, dV$$



$$\int_{V(t)} \left( \rho C_p \frac{DT}{Dt} + \rho C_p T (\nabla \cdot \mathbf{v}) \right) \, dV = \int_{V(t)} (\nabla \cdot k \nabla T + R) \, dV$$

\* 6/26/16 assumes constant  $\rho$  and  $C_p$

$$(\mathbf{q} = -k \nabla T)_{18}$$

# Summary for Incompressible Fluid

mass

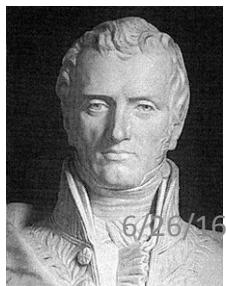
$$\frac{D\rho}{Dt} = 0 \quad \longrightarrow \quad \nabla \cdot \mathbf{v} = 0$$

momentum

$$\rho \frac{D\mathbf{v}}{Dt} = -\nabla P + \rho \mathbf{g} + \eta \nabla^2 \mathbf{v}$$

heat

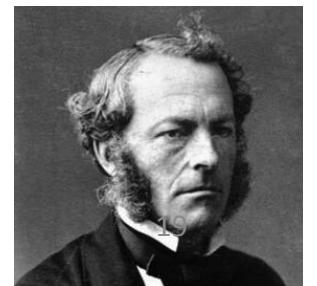
$$\rho C_p \frac{DT}{Dt} = k \nabla^2 T + R$$



Claude  
Navier

Momentum equation is often called Navier-Stokes equation

George  
Stokes



# Summary for Incompressible Fluid

mass

$$\frac{D\rho}{Dt} = 0 \quad \longrightarrow \quad \nabla \cdot \mathbf{v} = 0$$

momentum

$$\rho \frac{D\mathbf{v}}{Dt} = -\nabla P + \rho \mathbf{g} + \eta \nabla^2 \mathbf{v}$$

heat

$$\rho C_p \frac{DT}{Dt} = k \nabla^2 T + R$$



Equation of state: relate density variations to changes in temperature

$$\frac{1}{\rho} \frac{\partial \rho}{\partial T} = -\alpha \quad \text{thermal expansion}$$

Joseph Boussinesq

# Summary for Incompressible Fluid

mass

$$\frac{D\rho}{Dt} = 0 \quad \longrightarrow \quad \nabla \cdot \mathbf{v} = 0$$

momentum

$$\rho \left( \frac{\partial \mathbf{v}}{\partial t} + \mathbf{v} \cdot \nabla \mathbf{v} \right) = -\nabla P + \rho \mathbf{g} + \eta \nabla^2 \mathbf{v}$$

heat

$$\rho C_p \left( \frac{\partial T}{\partial t} + \mathbf{v} \cdot \nabla T \right) = k \nabla^2 T + R$$

+ Boundary conditions:

- free slip,  $\mathbf{v}=0$
- heat flow, fixed  $T$

## Digression on “Viscous” Force

Force  $\mathbf{f} = \nabla \cdot \tau$  where  $\tau$  is deviatoric stress tensor

Newtonian fluid  $\tau = 2\eta\dot{\epsilon}$  where strain rate  $\dot{\epsilon} = \frac{1}{2}(\nabla\mathbf{v} + (\nabla\mathbf{v})^T)$

$$\rightarrow \mathbf{f} = \eta\nabla^2\mathbf{v}$$

More generally

$$\dot{\epsilon} = f(T, P, \tau, \dots)\tau$$

Other variables: grain size, volatiles, partial melt, deformation history

Mineral Physics lectures 2, 4 and tutorial 2



## 2. Dimensionless Numbers

Define dimensionless variables

$$x' = x / R$$

$$v' = v / v_{\max}$$

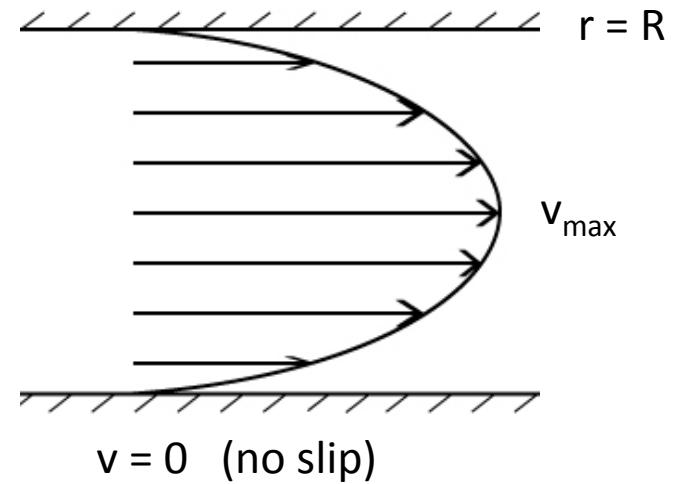
$$t' = t / \tau$$

Navier-Stokes (in SI units)

$$\rho \left( \frac{\partial \mathbf{v}}{\partial t} + \mathbf{v} \cdot \nabla \mathbf{v} \right) = -\nabla P + \eta \nabla^2 \mathbf{v}$$

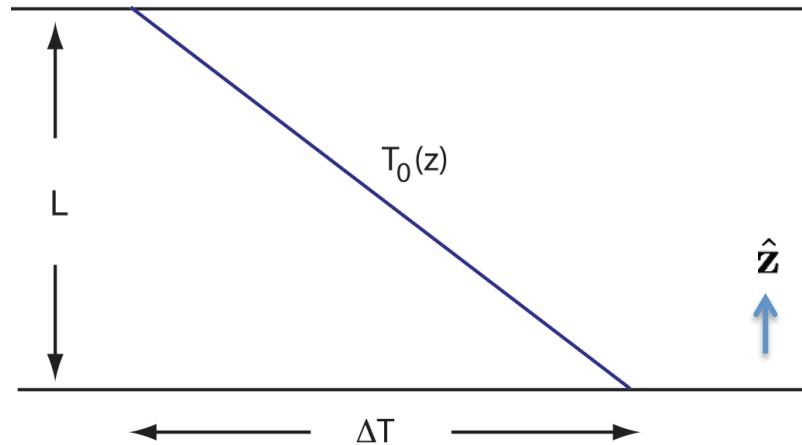
Change variables to  $x'$ ,  $t'$ ,  $v'$

$$\left( \frac{\partial \mathbf{v}'}{\partial t'} + \mathbf{v}' \cdot \nabla \mathbf{v}' \right) = -\nabla P' + \frac{1}{Re} \nabla^2 \mathbf{v}'$$



$$Re = \frac{v_{\max} R}{(\eta / \rho)} = \frac{v_{\max} R}{\nu}$$

### 3. Convection Problem



Dimensionless variables

$$x' = x / L$$

$$T' = T / \Delta T$$

$$t' = t / \tau \quad \text{where } \tau = L^2 / \kappa$$

$$v' = v / (L/\tau)$$

Change variables to dimensionless quantities

$$1. \quad \nabla \cdot \mathbf{v}' = 0$$

$$2. \quad \frac{1}{Pr} \left( \frac{\partial \mathbf{v}'}{\partial t'} + \mathbf{v}' \cdot \nabla \mathbf{v}' \right) = -\nabla P' + Ra T' \hat{z} + \nabla^2 \mathbf{v}'$$

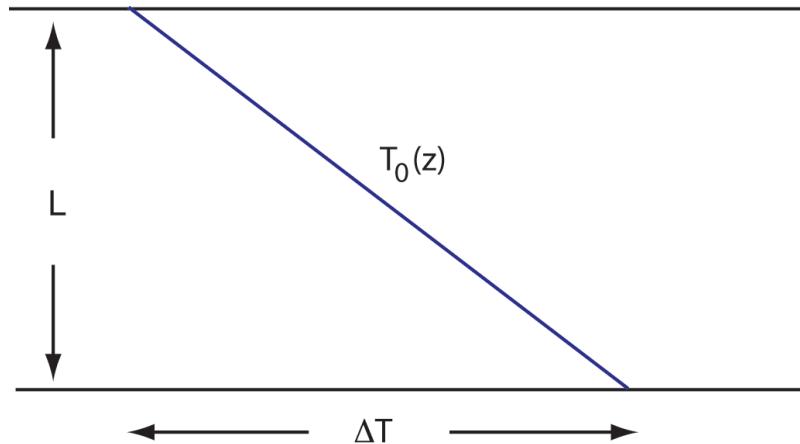
$$3. \quad \frac{\partial T'}{\partial t'} + \mathbf{v}' \cdot \nabla T' = \nabla^2 T' + R'$$

*Dimensionless numbers*

$$Pr = \frac{\nu}{\kappa}$$

$$Ra = \frac{\alpha g \Delta T L^3}{\kappa \nu}$$

# Convection Problem



Dimensionless variables

$$x' = x / L$$

$$T' = T / \Delta T$$

$$t' = t / \tau \quad \text{where } \tau = L^2 / \kappa$$

$$v' = v / (L/\tau)$$

Change variables to dimensionless quantities

1.  $\nabla \cdot \mathbf{v}' = 0$

2.  $\frac{1}{Pr} \left( \frac{\partial \mathbf{v}'}{\partial t'} + \mathbf{v}' \cdot \nabla \mathbf{v}' \right) = -\nabla P' + Ra T' \hat{\mathbf{z}} + \nabla^2 \mathbf{v}'$

3.  $\frac{\partial T'}{\partial t'} + \mathbf{v}' \cdot \nabla T' = \nabla^2 T' + R'$

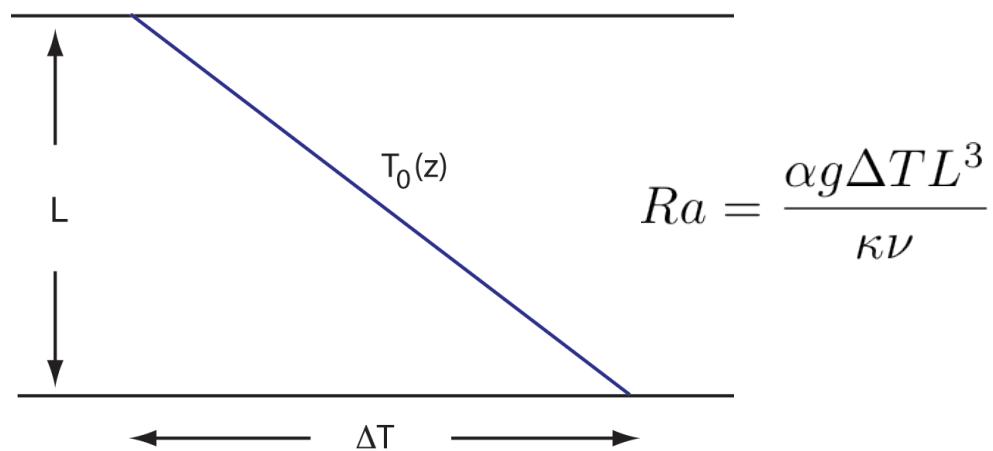
*Dimensionless numbers*

$$Pr = \frac{\nu}{\kappa} \sim 10^{24} \quad (\text{mantle})$$

$$Ra = \frac{\alpha g \Delta T L^3}{\kappa \nu}$$

# Onset of Convection

When does convection begin?



Consider time evolution of a small perturbation in an initially conductive state

$$T(x, y, z, t) = T_0(z) + \delta T(x, y, z) e^{\sigma t}$$

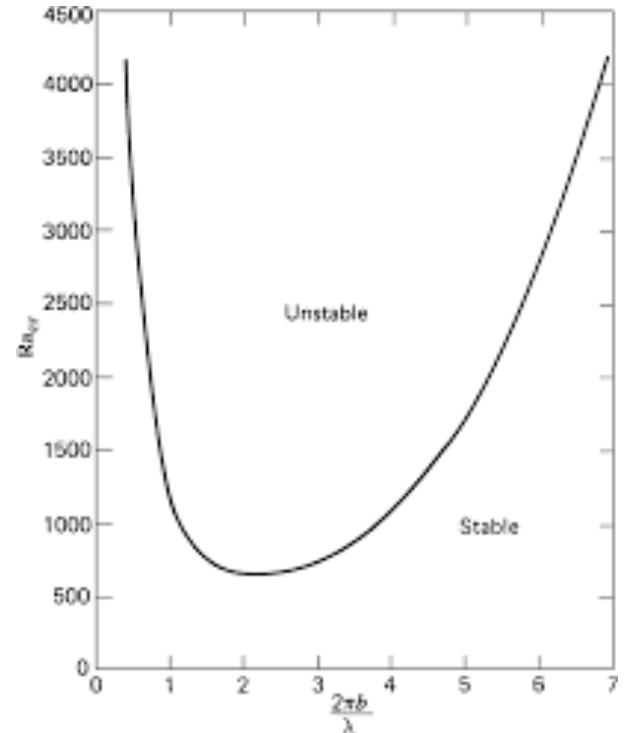
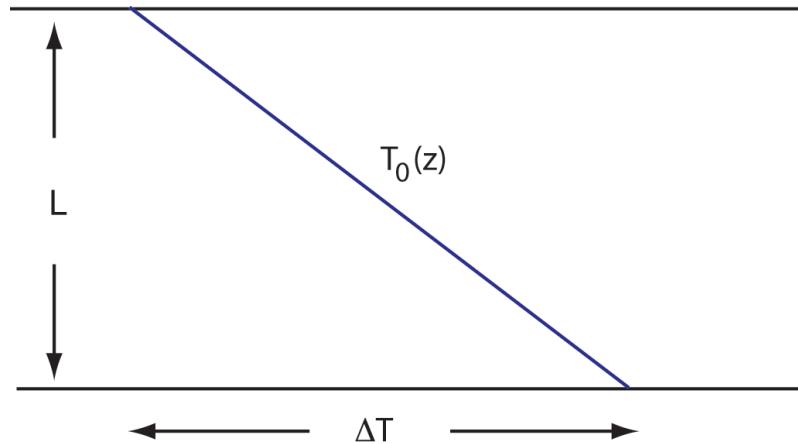
$$\mathbf{v}(x, y, z, t) = \delta \mathbf{v}(x, y, z) e^{\sigma t}$$

Substitute into (linearized) equations and solve for growth rate  $\sigma$

# Onset of Convection

When does convection begin?

$$Ra = \frac{\alpha g \Delta T L^3}{\kappa \nu}$$



Consider time evolution of a small perturbation in an initially conductive state

$$T(x, y, z, t) = T_0(z) + \delta T(x, y, z) e^{\sigma t}$$

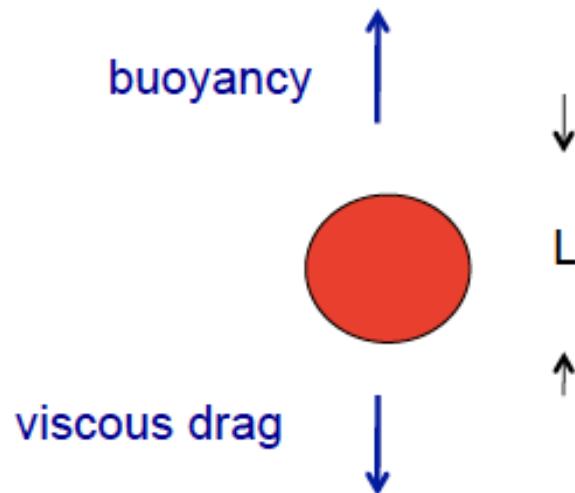
$$\mathbf{v}(x, y, z, t) = \delta \mathbf{v}(x, y, z) e^{\sigma t}$$

Substitute into (linearized) equations and solve for growth rate  $\sigma$

## Rayleigh Number Ra

Velocity of Parcel  $v \approx \Delta\rho g L^2 / \eta$

For hot fluid  $|\Delta\rho| = \rho\alpha\Delta T$

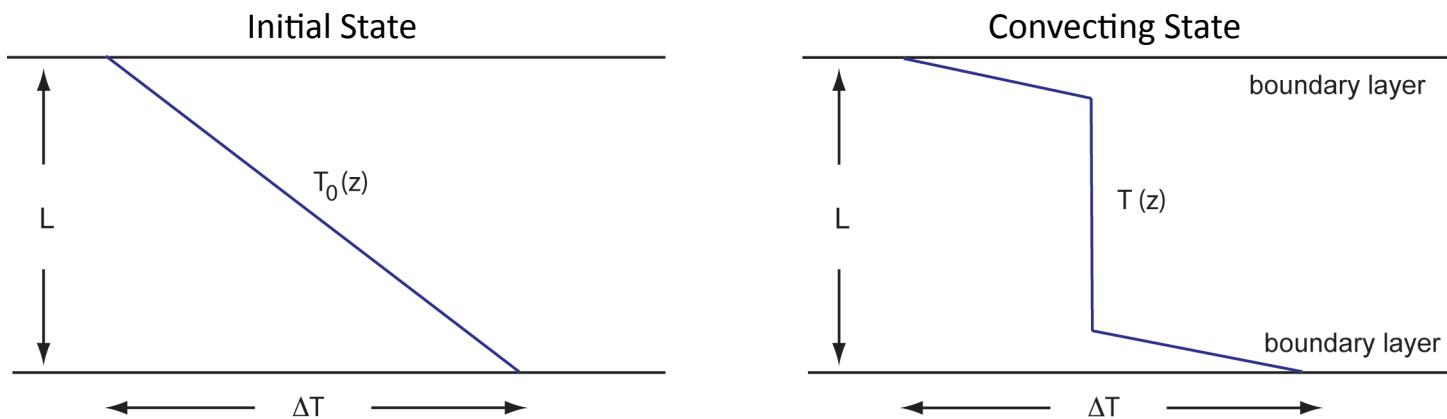


Ratio of conduction to advection time?

$$\frac{\tau_c}{\tau_a} = \frac{vL}{\kappa} = \frac{\rho\alpha g \Delta T L^3}{\kappa\eta} \quad (\text{Rayleigh number})$$

e.g.  $L = 2900 \text{ km}$ ,  $\Delta T \sim 3000 \text{ K}$ ,  $\text{Ra} \sim 10^8$  (critical  $\text{Ra}_c \sim 10^3$ )

## 4. Boundary Layer Theory



Heat is carried by advection in the interior (e.g.  $q_z = \rho C_p T v_z$ ). The vertical velocity vanishes at the boundaries, so heat must be carried by conduction across the boundaries (e.g.  $q_z = -k dT/dz$ ).

→ The boundary layers are key to understanding convection

# Boundary Layer Theory

Heat flow across layer\*

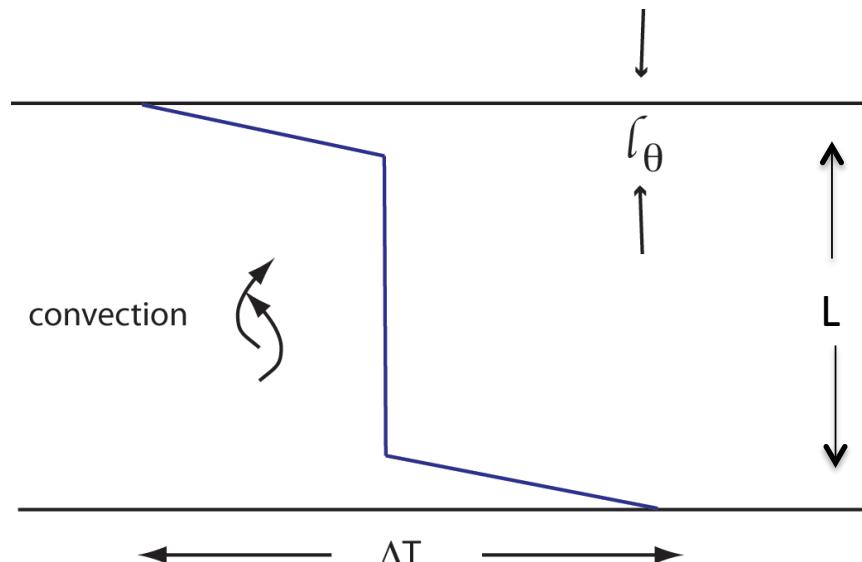
$$q_{conv} = \frac{k(\Delta T/2)}{l_\theta}$$

In the initial state (before convection)

$$q_{cond} = \frac{k(\Delta T)}{L}$$

Efficiency of convection

$$\frac{q_{conv}}{q_{cond}} = \frac{L}{2l_\theta} = Nu \quad (\text{Nusselt number})$$



# Boundary Layer Instabilities

Cold boundary layer grows by conduction into the convecting region

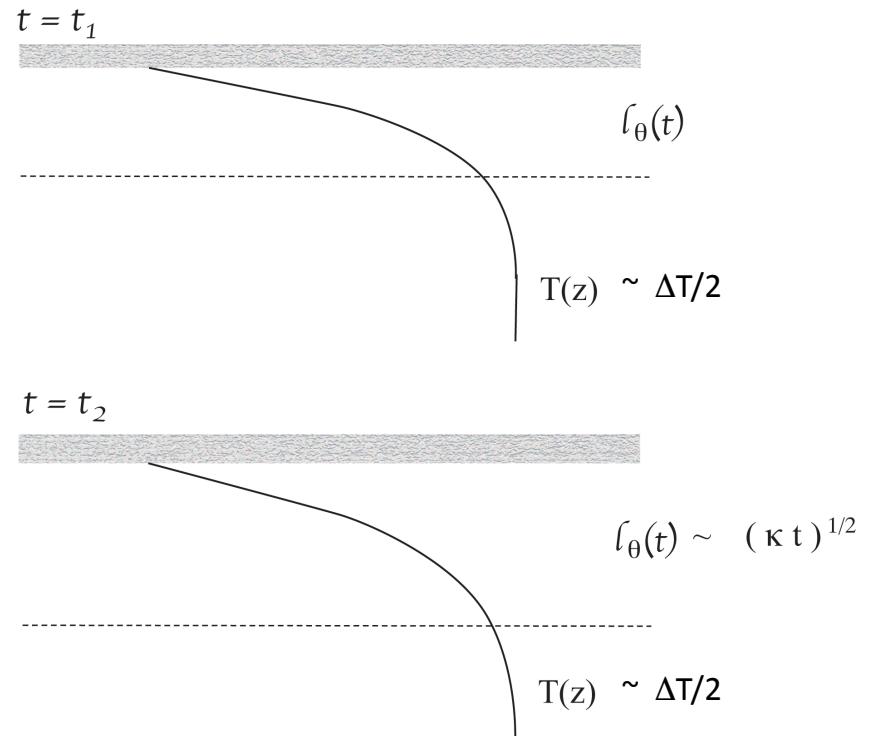
$$l_\theta \approx \sqrt{\kappa t}$$

Eventually the boundary layer becomes unstable at time  $t_c$

Define a local Rayleigh number

$$Ra_l = \frac{\alpha g(\Delta T/2) l_\theta^3}{\kappa \nu}$$

6/26/16

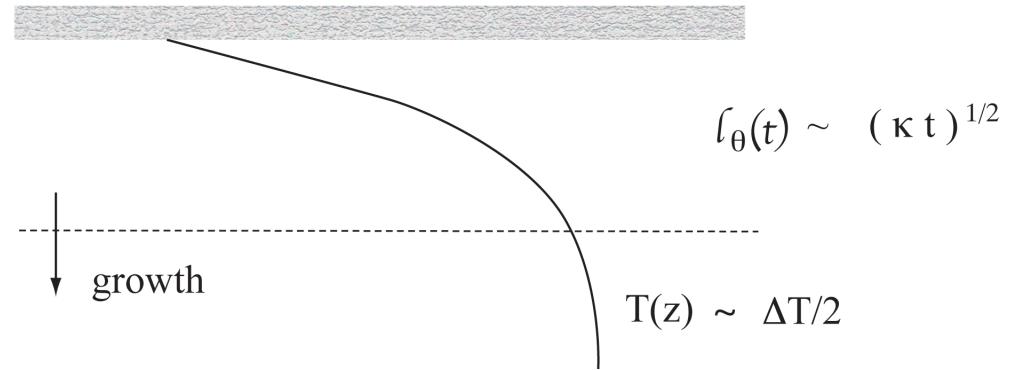


Instability occurs when  $Ra_l \sim Ra_c \sim 10^3$

# Average Heat Flow

Heat flow  $q(t)$

$$q(t) = -k \frac{dT}{dz} \approx k \frac{\Delta T/2}{\sqrt{\kappa t}}$$



Time average

$$\bar{q} \approx \frac{1}{t_c} \int_0^{t_c} k \frac{\Delta T/2}{\sqrt{\kappa t}} dt = \frac{k \Delta T}{\sqrt{\kappa t_c}}$$

Recall that  $l_\theta^c = \sqrt{\kappa t_c}$  is defined by  $\text{Ra}_l = \text{Ra}_c$

## Nu-Ra Relationship

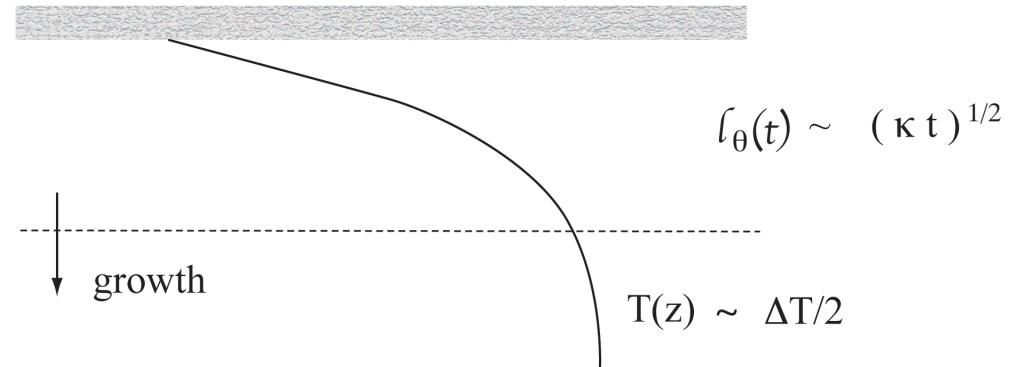
$$\frac{q_{conv}}{q_{cond}} = \frac{L}{2l_\theta} = Nu$$

Time average

$$\bar{q} = k\Delta T/l_\theta^c$$

where

$$Ra_c = \frac{\alpha(\Delta T/2)g (l_\theta^c)^3}{\kappa\nu} = \frac{Ra}{2} \left(\frac{l_\theta^c}{L}\right)^3$$



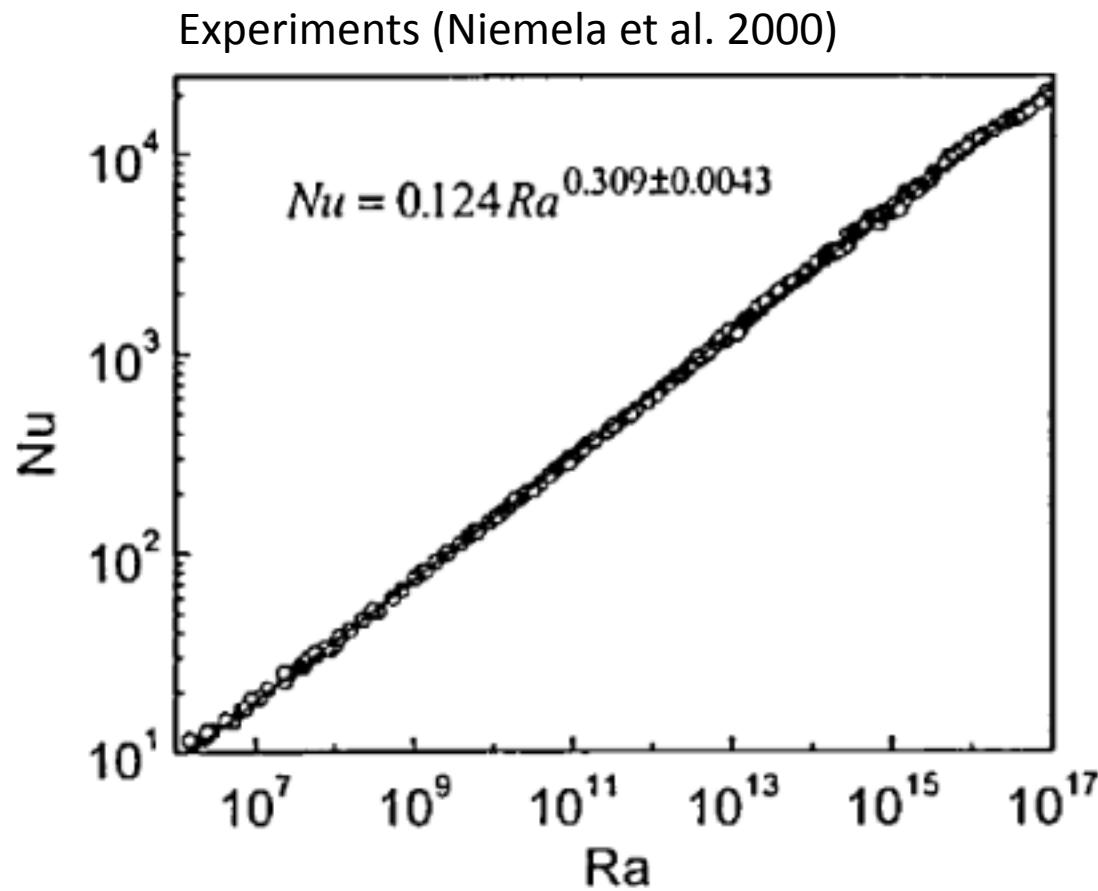
This means that

$$\frac{l_\theta^c}{L} = \left(\frac{2Ra_c}{Ra}\right)^{1/3} \quad \rightarrow \quad Nu = \left(\frac{Ra}{2Ra_c}\right)^{1/3}$$

\* remember that  $l_\theta^c = 2\bar{l}_\theta$

Nu-Ra relationship

## Comparison with Experiments



Boundary-layer theory

$$Nu = \left( \frac{Ra}{2Ra_c} \right)^{1/3}$$

$$Nu = 0.089 Ra^{0.33}$$

using Kraichnan's estimate  
for  $Ra_c \sim 700$

Existence of asymptotic regime?  $Nu \sim Ra^{1/2}$

# Application to Mantle Convection

1. Thickness when layer becomes unstable?

$$Ra_c = \frac{\alpha(\Delta T/2)g(l_\theta^c)^3}{\kappa\nu} = \frac{Ra}{2} \left(\frac{l_\theta^c}{L}\right)^3$$

2. Time to become unstable?

$$l_\theta^c = \sqrt{\kappa t_c}$$

3. Dependence of heat flow on layer thickness?

$$\bar{q} = k\Delta T/l_\theta^c$$

## 5. Thermal Histories

Heat Budget

$$\bar{C}_p M \frac{dT}{dt} = R(t) - Q(t) + Q_c(t)$$

Convection

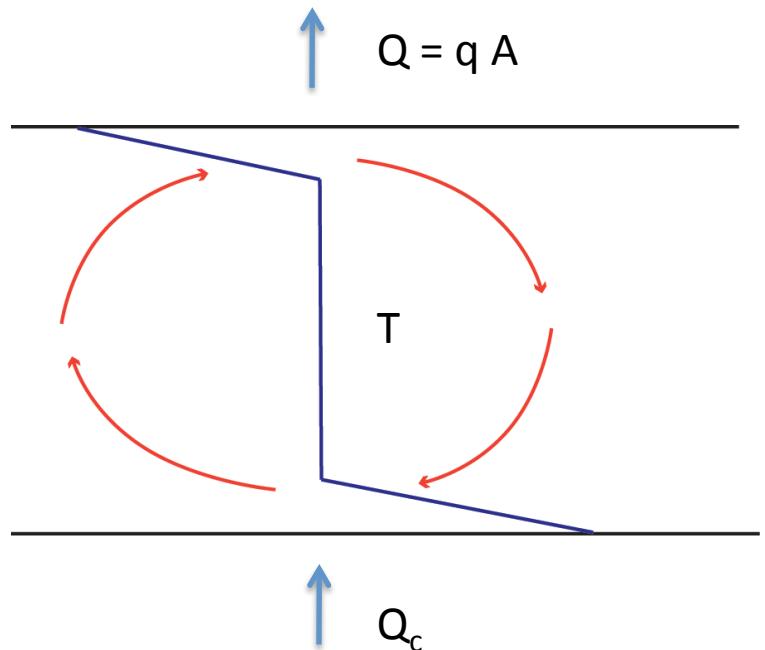
$$q(t) = \frac{kT(t)}{L} Nu(t) = \frac{kT(t)}{L} \left( \frac{Ra(t)}{2Ra_c} \right)^{1/3}$$

where

$$Ra(t) = \frac{\alpha g T(t) L^3}{\kappa \nu(t)}$$

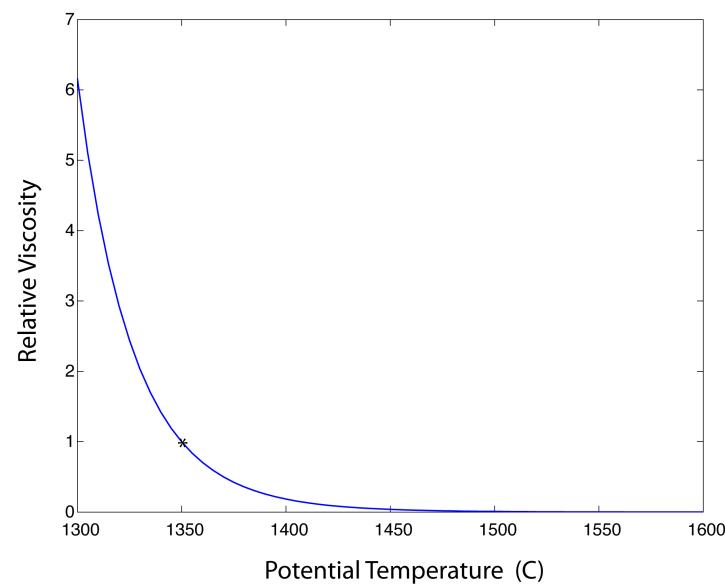
Temperature Dependence

$$\nu(T) \propto \exp \left( \frac{E}{RT} \right)$$

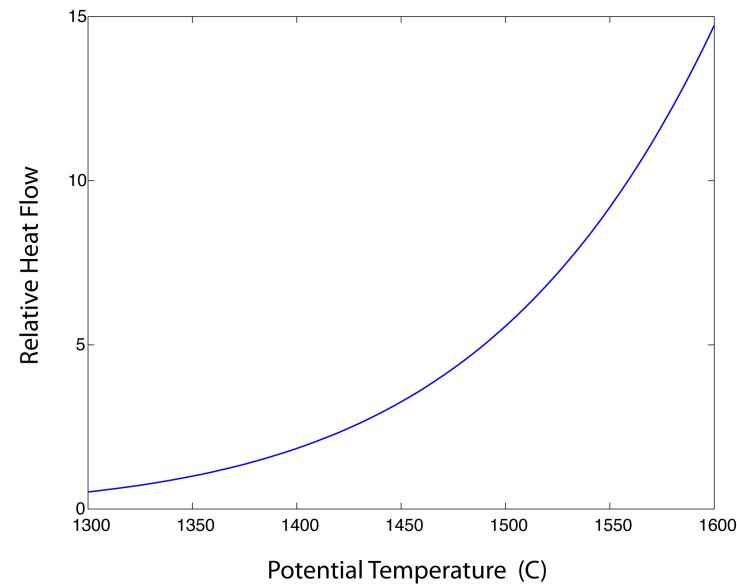


# Change in Heat Flow

Viscosity

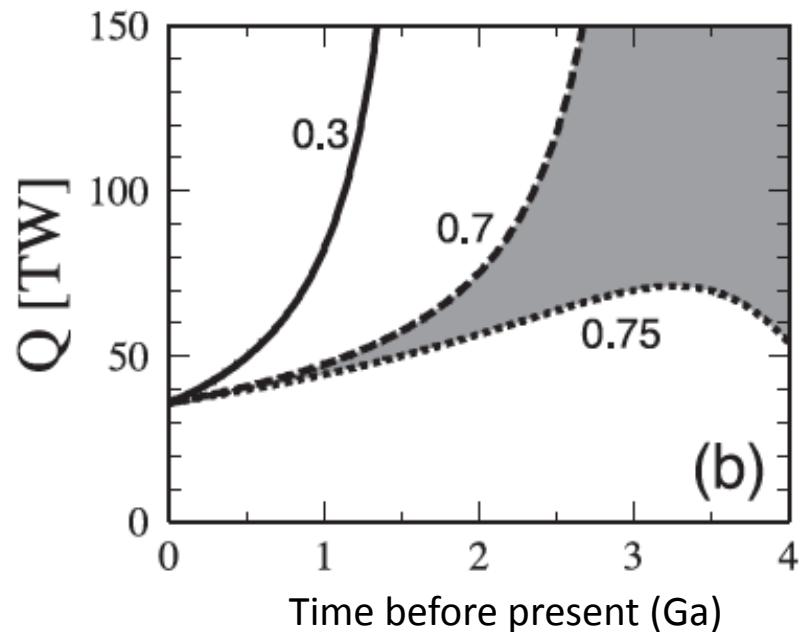
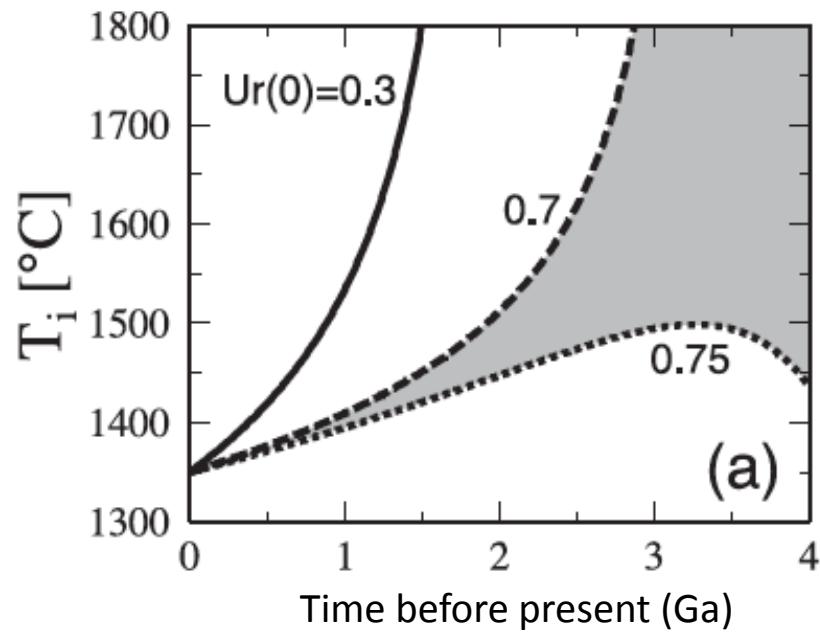


Heat Flow



Strong temperature dependence leads to a thermal “catastrophe” at early times

# Thermal Evolution of Mantle



Korenaga (2008)

$$\text{Urey number } Ur = \frac{\text{radiogenic heat}}{\text{convective heat flux}} \approx \frac{11}{36} = 0.3$$

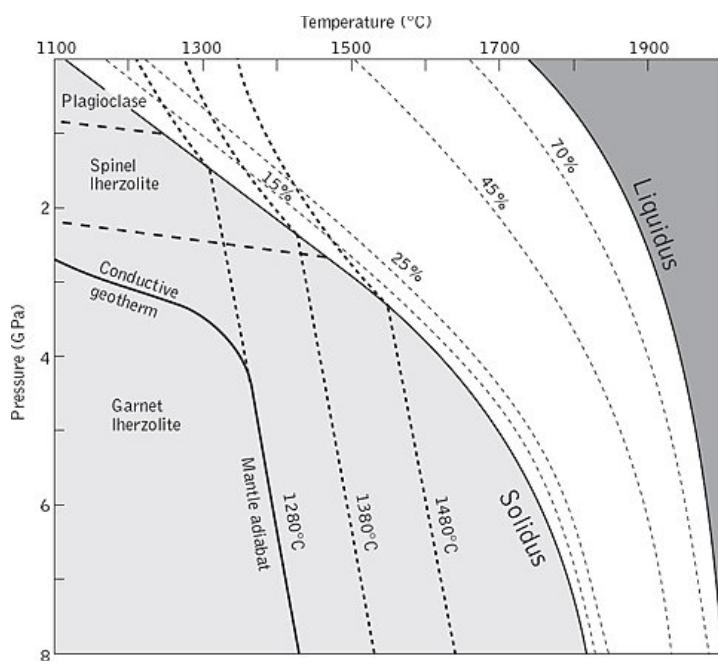
## 5. Further Complications

Extensions to boundary layer theory?

1. Melting and volcanism - affects heat transport and composition (buoyancy)
2. Rheology – some mixture of elastic, viscous and plastic behavior  
(more Geodynamics 2)
3. Initial conditions?

# Melting

## Decompression Melting



Melting forms oceanic crust (basalt)  
and depleted residuum (harzburgite)  
More in geochemistry lectures . . .

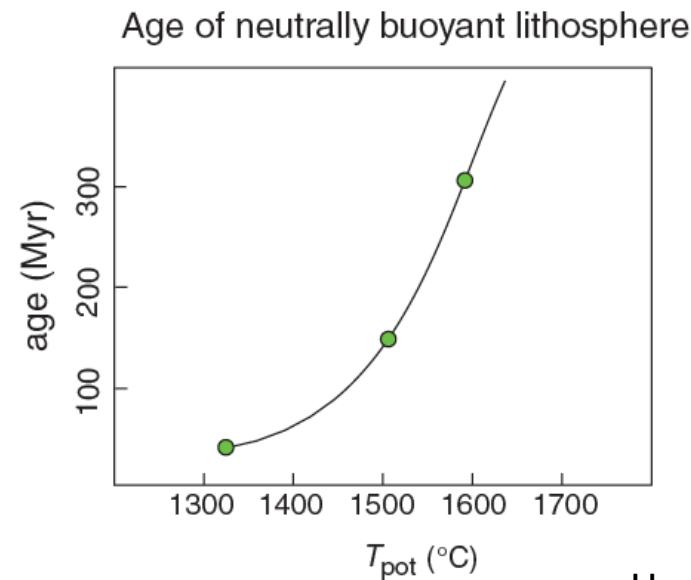
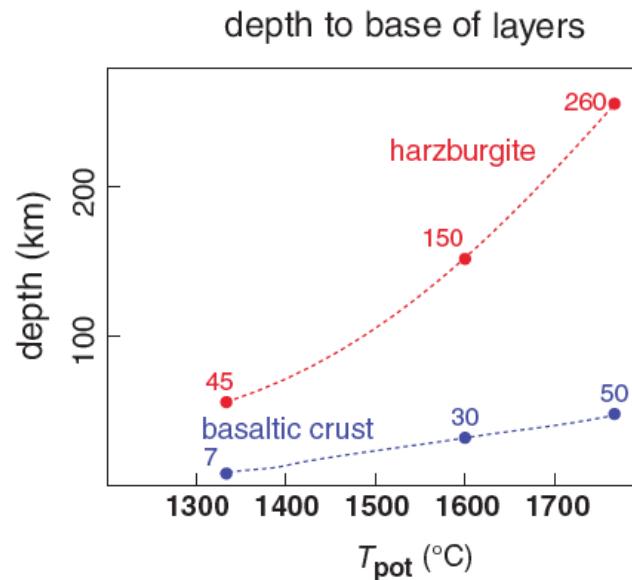
## Densities

basalt  $\sim$  2.9 g/cm<sup>3</sup>

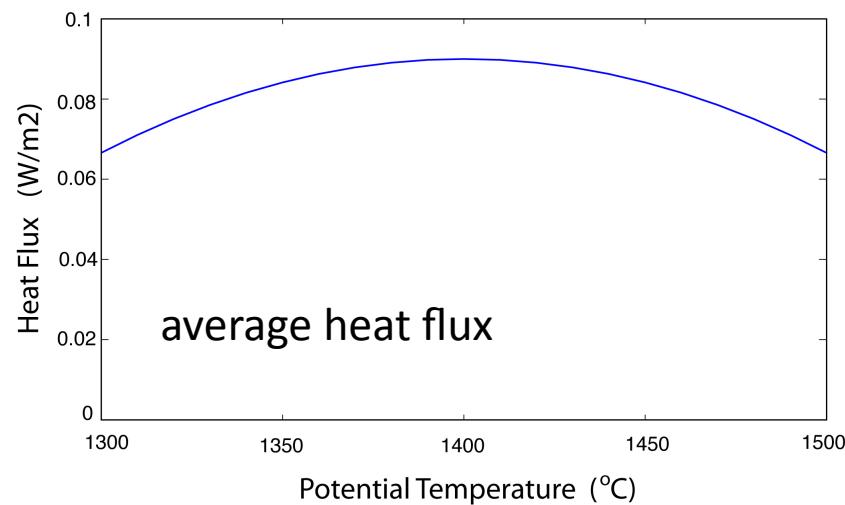
harzburgite  $\sim$  3.2 g/cm<sup>3</sup>

Iherzolite  $\sim$  3.3 g/cm<sup>3</sup>

# Buoyancy of Lithosphere



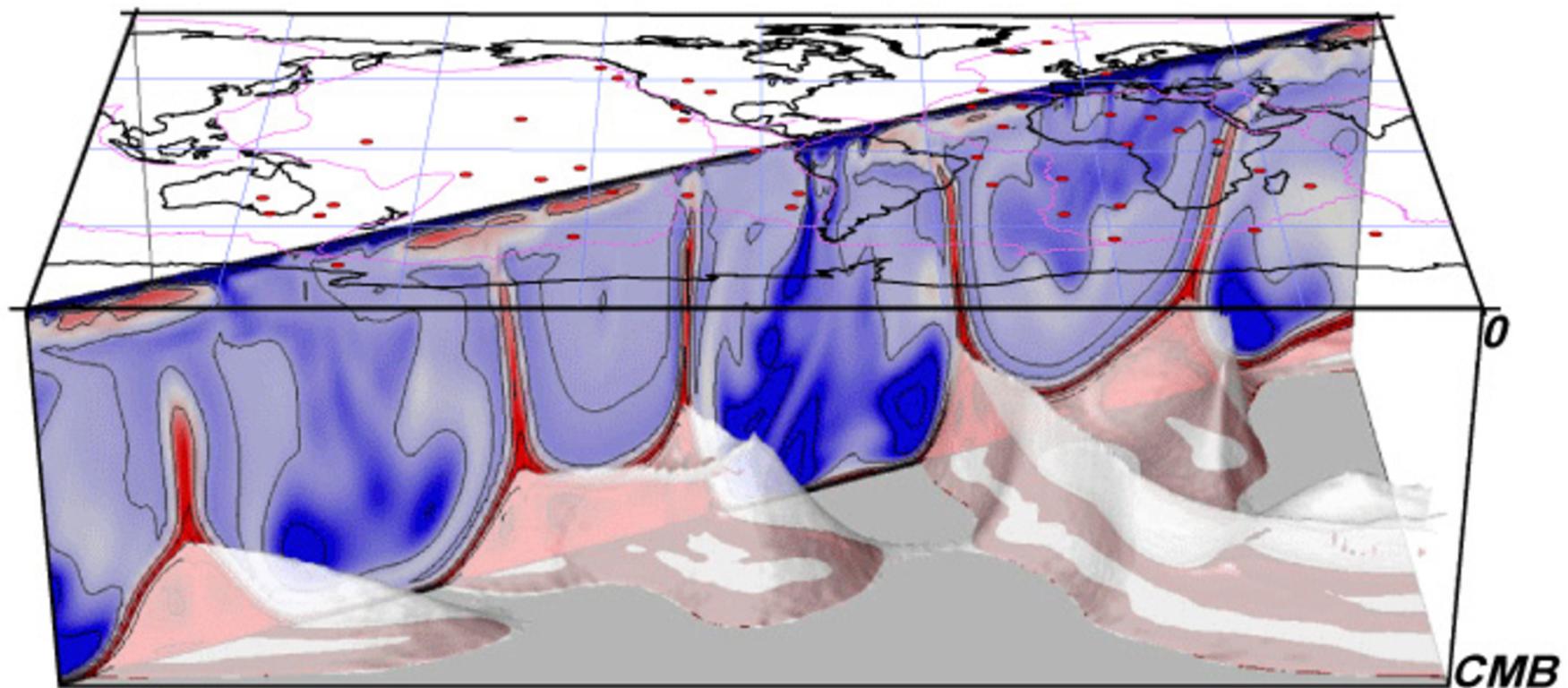
van Hunen et al. (2008)



Sleep (2007)

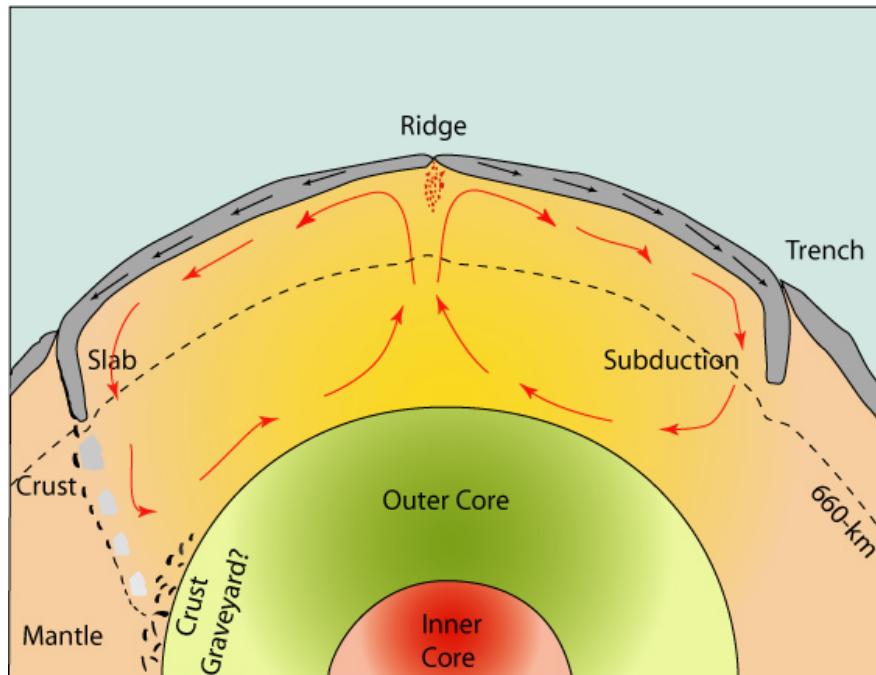
Not only thermal buoyancy:

Primordial Layering?



# Summary

How good is our theory of mantle convection?



(S. Rost)

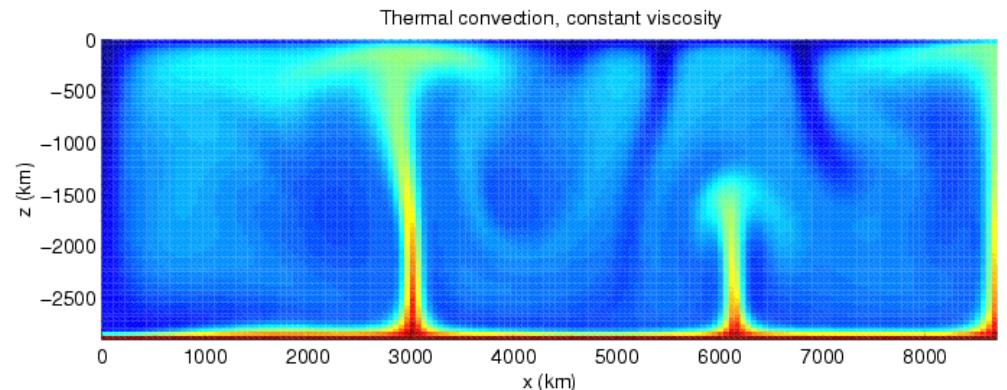
Extrapolation back in time ?

- heat flow?
- number or size of plates?
- continental configuration?
- surface environment/climate?

# Geodynamics I: Basics of Thermal Convection

1. Brief overview of governing equations
2. Dimensionless numbers
3. Onset of convection
4. Boundary layer model
5. Scaling relations and thermal evolution

Why?



- Interpret observations (surface, interior) in terms of process and history

Bruce Buffett, UC Berkeley

Presented by Michael Manga, UC Berkeley